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Network Timing with DOCSIS

For Mobile Backhaul

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DTP - DOCSIS Time Protocol

Market Drivers

- Mobile Backhaul over DOCSIS

Today's cable operators are tomorrow's mobile operators

CBRS Auction Sept 2020

Welcome 7 new mobile companies who are also cable companies

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Source: FCC Website

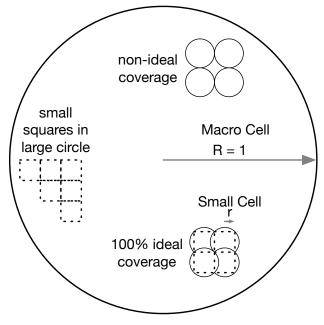
| | | Bidding | Number of | | | |
|---------|--------------------------------------|-------------|-----------|-----------|----|---------------|
| | | Credit | Licenses | Number of | Gr | oss |
| Ranking | Bidder | Туре | Won | Counties | | yment |
| 1 | Verizon Wireless | | 557 | 157 | \$ | 1,893,791,991 |
| 2 | Wetterhorn Wireless L.L.C. (Dish) | | 5,492 | 3,128 | \$ | 912,939,410 |
| 3 | Spectrum Wireless Holdings (Charter) | | 210 | 106 | \$ | 464,251,209 |
| 4 | XF Wireless Investment, LL (Comcast) | | 830 | 306 | \$ | 458,725,900 |
| 5 | Cox Communications, Inc | | 470 | 173 | \$ | 212,805,412 |
| 6 | Southern California Edison | | 20 | 15 | \$ | 118,951,433 |
| 7 | Windstream Services LLC,00 | | 1,014 | 296 | \$ | 38,534,863 |
| 8 | AMG Technology Investment0 | rural - 15% | 1,072 | 491 | \$ | 33,517,353 |
| 9 | Mediacom LLC | | 576 | 178 | \$ | 29,478,887 |
| 10 | SEAD, L.L.C. | | 7 | 2 | \$ | 25,274,477 |
| 11 | San Diego Gas and Electric | | 3 | 2 | \$ | 21,273,340 |
| 12 | SAL Spectrum, LLC | | 1,569 | 590 | \$ | 20,396,530 |
| 13 | Puerto Rico Telephone | | 231 | 78 | \$ | 18,887,528 |
| 14 | Alabama Power Company | | 271 | 103 | \$ | 18,878,280 |
| 15 | VTX Communications, LLC001 | rural - 15% | 112 | 32 | \$ | 18,086,192 |
| 16 | NE Colorado Cellular, Inc. | rural - 15% | 558 | 143 | \$ | 17,749,727 |
| 17 | Shenandoah Cable | | 262 | 74 | \$ | 16,118,381 |
| 18 | United States Cellular | | 243 | 80 | \$ | 13,538,232 |
| 19 | W.A.T.C.H. TV Company | rural - 15% | 517 | 193 | \$ | 12,872,997 |
| 20 | Highland Opportunities, LL | small - 25% | 180 | 82 | \$ | 11,304,475 |
| 21 | Aeronet Wireless Broadband | small - 25% | 224 | 70 | \$ | 11,213,720 |
| 22 | Cable One, Inc. | | 547 | 175 | \$ | 10,544,441 |
| 23 | Hawaiian Electric Company, | | 10 | 4 | \$ | 9,495,400 |
| 24 | Actel, LLC | | 265 | 136 | \$ | 9,060,243 |
| 25 | Midcontinent (Midco) | | 269 | 75 | \$ | 8,842,319 |

Most Cable Operators are Mobile Operators

| Region | Cable Operators | | |
|---------------|--|-----|------|
| LICA | GCI | MNO | |
| USA | Altice, Charter, Comcast, Cox | | MVNO |
| Canada | Eastlink, Rogers, Shaw, Videotron | MNO | |
| Latin America | Telecom Argentina, Claro Brazil, Liberty Latin America | MNO | |
| Europe | Telenet (Belgium), TDC Net (Denmark), SFR (France), Vodafone (Germany), VodafoneZiggo (Netherlands), Telenor (Norway), NOS (Portugal), Telia (Nordic/Baltic), Tele2 (Nordic/Baltic), Virgin Media+Telefonica/O2 (UK) | MNO | |
| | VirginMedia (UK), Cablecom, UPC Poland, UPC Switzerland | | MVNO |

- Over half of the CableLabs' 63 members are already MNO or MVNO
- The third largest cable company is Vodafone.

The Coming Explosion of 5G Small Cells



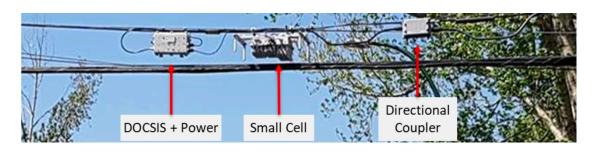
| 44 a di a a | $\pi (R)^2$ | π | (4) |
|-------------|---------------------------------------|-------------------|-----|
| # radios = | $\frac{1}{2}\left(\frac{1}{r}\right)$ | $=\frac{1}{2r^2}$ | (1) |

| Cell Type | Service | Band | r/R (2) | # radios |
|-----------|---------|---------|---------|----------|
| MC | LTE | 700 MHz | 1.0 | 1x |
| SC | CBRS | 3.5 GHz | 0.09 | 200x |
| SC | mmWave | 28 GHz | 0.003 | 175,000x |

- 100% coverage for CBRS 3.5 GHz will require 200x more small cell radios than macrocell radios. There are not enough towers.
- Therefore, small cell cost of equipment, install, real estate, power, and backhaul has to be <1% of macrocell costs. So, almost free.
- Macrocell install cost is \$20K to \$50K. Thus, small cell install cost has to be \$100 to \$250. That is the cost of a CM install. That is possible with HFC.
 - (1) John Chapman, Cisco, "Small Cell Traffic Engineering", SCTE 2020 WP
 - (2) MoffetNattanson, Telecom Report, Apr 2020, page 120

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DOCSIS is Needed for 5G Xhaul



Actual small cell strand-mount deployment by Shaw Communications

HFC (Hybrid Fiber Coax) passes 93% of USA HHP and provides what small cells need

- Current features: Site (strand-mount or home), power, backhaul
- New features: 1588 timing (SYNC) and LLX (Low Latency Xhaul) for 1-2 ms upstream latency

Every great wireless network needs a great wireline network

- Macrocells have traditionally been on towers and backhauled by fiber
- Altice, Cox and Shaw have deployed strand-mount small cell Xhaul over DOCSIS (>20,000)
- Small cell density is > 50x compared to macrocells requiring economics of 1% to 2%

Small Cell Build - Real World Analysis from Shaw

Model of Small Cell Urban Civil Build

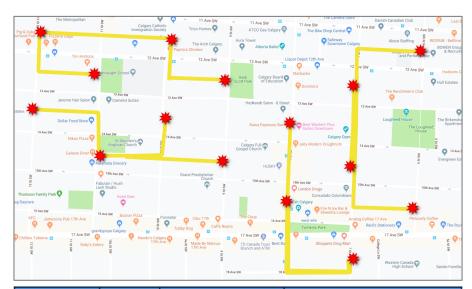
- time & construction costs only
- 15 small cells across 13 fiber nodes
- small cells powered from HFC plant

Fiber (in yellow):

- DWDM build to nearest fiber location
- fiber cost from node to hub not included

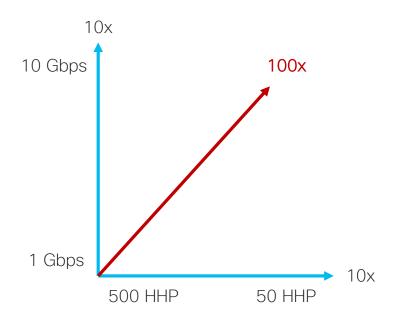
Coax (red star):

- coax build to nearest HFC plant
- less than 10 meters run, cut-in coupler
- no permitting/access issues
- no civil build needed



| Backhaul Option | BB Fibers | Construction Cost | Time to Build |
|--------------------|--------------|----------------------|------------------|
| Fiber | 1 | \$182,500 | 4-6 Months |
| Coax | 0 | \$1,500 | 1 Week |
| SAVINGS | | 1% of Cost | 20x Faster |

Moving Forward



DOCSIS has a growth potential of 100x in the DS and US

Spectrum Reuse/Extension:

- Downstream spectrum can go from 1 Gbps to 10 Gbps (10x)
- Upstream spectrum can go from 100 Mbps to 1.4 Gbps (14x) to 3.7 Gbps (37x)

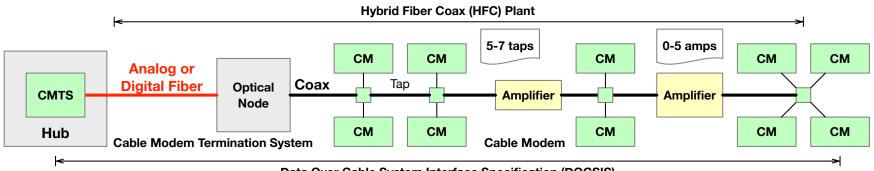
Deep Fiber:

 Segment plant from 500 HHP N+5 to 50 HHP N+0 (10x)

DTP - DOCSIS Time Protocol

Operation

What is DOCSIS and HFC?



Data Over Cable System Interface Specification (DOCSIS)

HFC

- Fiber to the neighborhood, coax to the door
- Reaches 93% of American households
- Currently analog fiber, migrating to 10 GE

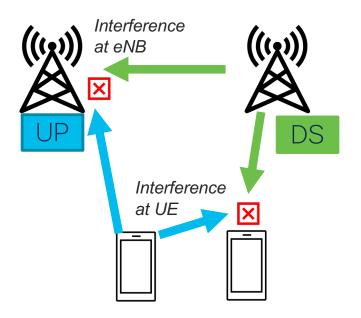
DOCSIS

- Data communications protocol between CMTS and CM
- Maintained at CableLabs. Six iterations since 1997. Deployed worldwide

Why Do Small Cell Require Global Timing

What's the problem?

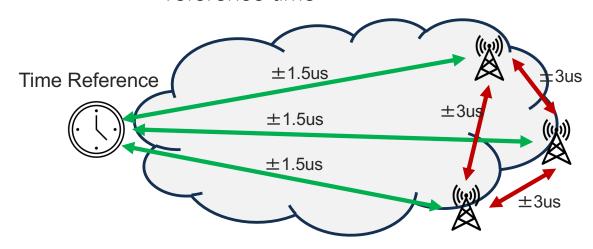
· Interference at eNBs and UEs



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What's the solution?

- 1. 3GPP: 3us between base stations
- 2. Radio backhaul network: ±1.5us from reference time



This is a critical concern for TDD, less so for FDD

Introducing the DOCSIS Time Protocol (DTP)



Goals

- To provide precise frequency and time to a device that is connected to the network port of a DOCSIS CM
- Use the native properties of DOCSIS rather than explicitly design in separate 1588 clocking circuitry
- Note that PTP over the top of DOCSIS adds timing error due to upstream scheduling jitter and asymmetrical delay

Applications

- Wireless/cellular backhaul of small cells over DOCSIS
- Eliminates GPS receiver in eNB. This lowers cost and eases installation

DTP Bibliography

Data-Over-Cable Service Interface Specifications Mobile Applications

Synchronization Techniques for DOCSIS® Technology Specification

CM-SP-SYNC-I01-200420

ISSUED

Notice

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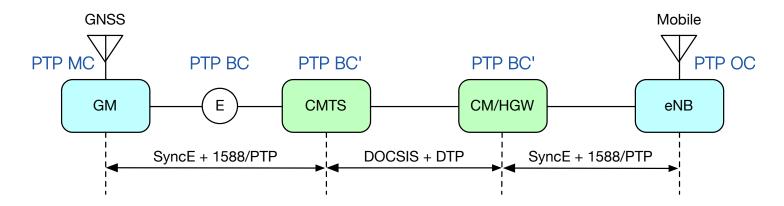
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DTP was invented by John Chapman at Cisco in 2010 to allow a DOCSIS system to support hardware-based network timing using existing DOCSIS infrastructure.

- John T. Chapman, Rakesh Chopra, Laurent Montini., "The DOCSIS Timing Protocol (DTP), Generating precision timing services from a DOCSIS system," INTX/SCTE Spring Technical Forum, 2011. [link]
- Jennifer Andreoli-Fang, John T. Chapman, "Mobile Backhaul Synchronization Architecture," SCTE Cable-Tec Expo Fall Technical Forum, Denver, October, 2017. [link]
- Elias Chavarria Reyes, John T. Chapman, "How the DOCSIS Time Protocol makes the SYNC Specification Tick," SCTE Cable-Tec Expo Fall Technical Forum, Denver, Oct, 2020. [link]
- "DOCSIS MAC and Upper Layer Protocols Interface Specification", CM-SP-MULPI, CableLabs. [link]
- "Synchronization Techniques for DOCSIS Technology Specification," CM-SP-SYNC, CableLabs. [link]

Timing Support



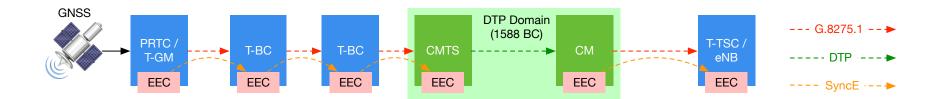
DOCSIS Time Protocol (DTP)

- Allows for the passing of network timing over DOCSIS 3.1 while DTP accounts for HFC plant and path asymmetry and latency
- CMTS synchronizes to PTP/SyncE and generates DTP. CM terminates DTP and regenerates PTP.

Timing & Phase

- Meets ITU-T requirements for 4G-LTE-TDD & 5G of \pm 1.5 µsec end-to-end
- Time error (TE) budget includes DOCSIS and nodes and amplifiers from the HFC plant

Time Error Budgeting for MBH over DOCSIS





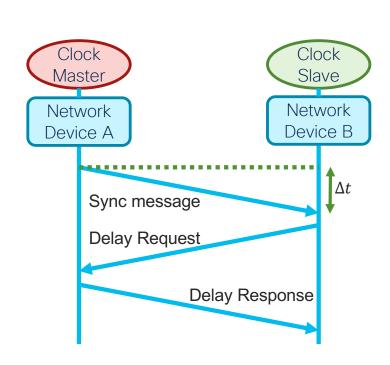
Time Error Budget for HFC

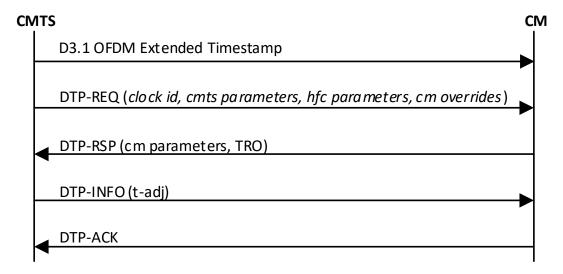
| Budest Comment | ITU-T | I-CMTS | | DAA | | | |
|--|---------------|-------------|-----|------|-----------|-----|------|
| Budget Component | Reference | n | @ | TE | n | @ | TE |
| PRTC (Class A is 100 ns, Class B is 40 ns, ePRTC is 30 ns) | 100 | Class A 100 | | 100 | Class A | | 100 |
| Network holdover and PTP rearrangements | NA or 400 | | | 200 | | | 200 |
| Network dynamic TE and SyncE rearrangements | 200 for 10 BC | | | 200 | | | 200 |
| T-BC (Class A is 50 ns, Class B is 20 ns) | 500 for 10 BC | 2 | 50 | 100 | 4 | 50 | 200 |
| Link asymmetry | 250 for 10 BC | | | 50 | | | 50 |
| Ethernet and Dynamic Aspects of Ethernet TE Budget | 1050 | | | 650 | | | 750 |
| CMTS (Class A is 200 ns, Class B is 100 ns) | | Clas | s A | 200 | Clas | s A | 200 |
| DTP | | | | 50 | | | 50 |
| HFC path | | | | 50 | | | 10 |
| HFC node | | | | 50 | | | 10 |
| HFC amp/LE | | N+5 | 10 | 50 | N+3 | 10 | 30 |
| CM (Class A is 250 ns, Class B is 100 ns) | | Class A | | 250 |) Class A | | 250 |
| DOCSIS Network TE Budget | | | | 650 | | | 550 |
| Rearrangements and short holdover in the end application | 250 or 0 | | | 0 | | | 0 |
| Base station slave or intra-site distribution | 50 | Class A | | 50 | Class A | | 50 |
| Base station RF interface | 150 | | | 150 | | | 150 |
| Base Station Network TE Budget | 450 | | | 200 | | | 200 |
| Total TE Budget | 1500 | | | 1500 | | | 1500 |

The TE budget for DOCSIS was taken from the Ethernet and base station budgets.

- The ITU-T +/- 1500 ns TE budget for mobile has been re-distributed across the combined Ethernet network, the HFC plant, and the end network with the eNB.
- Link asymmetry and hold-over assumptions were changed.
- Timing Error (TE) budgets were assigned to the I-CMTS (or DAA/RPD), Nodes, Amps and the CM along with Class A and B options.

Time and Delay for PTP and DTP



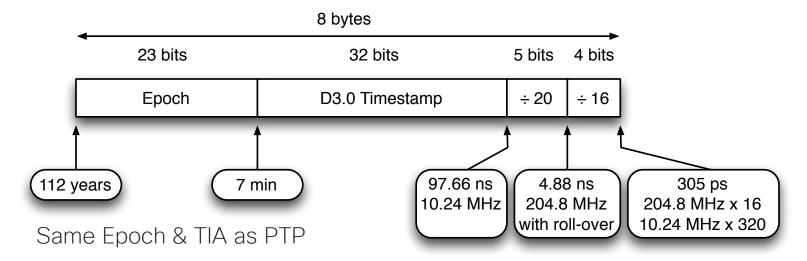


PTP sync message replaced by DOCSIS timestamp

PTP delay request/response messages are replaced with DTP true ranging offset (TRO)

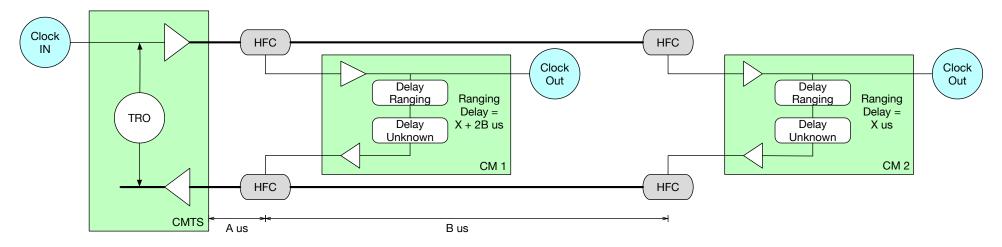
PTP announce message sent over DOCSIS

DOCSIS 3.1 Timestamp



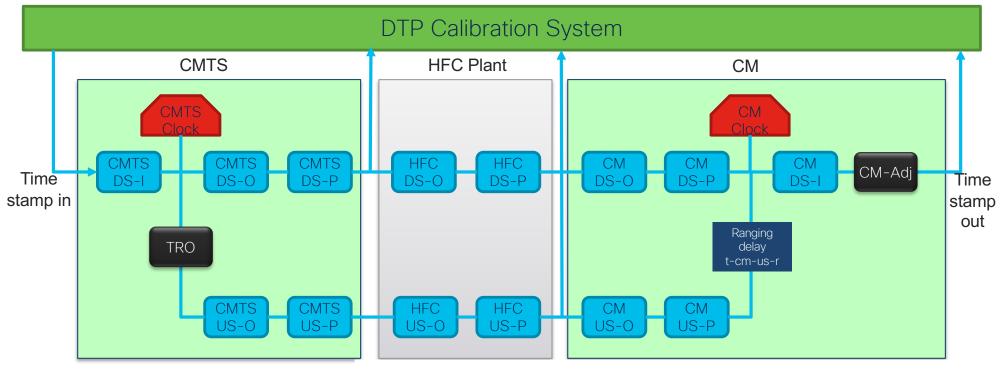
| Comparison | Size | Precision | Max Time |
|------------|----------|------------------|-----------------------|
| DOCSIS 3.0 | 32 bits | 97.7 ns | 7 min |
| DOCSIS 3.1 | 64 bits | 305 ps | 112 years |
| IEEE-1588 | 64 bits | 1 ns | 584 years |
| NTPv1 | 64 bits | 233 ps | 136 years |
| NTPv4 | 128 bits | 500 atto-seconds | Universe start to end |

True Ranging Offset (TRO)



- DOCSIS ranging negotiates a unique delay for each CM so that their upstream transmission bursts arrive at the CMTS at the correct scheduled time.
- From the results of the ranging process, a round trip time can be established. This replaces the PTP delay request/response algorithm
 - The difference between a 0 mile CM (X + 2B μs) and a 100 mile CM (X μs) is the RTT of the plant.
- This is known as the True Ranging Offset. It is derived through a specific algorithm.

DTP Timing Model



Baseline equations without CMTS/CM pairs

t-hfc-ds-p = (t-tro-t-cmts-ds-o-t-cmts-ds-p-t-hfc-ds-o-t-cm-ds-p-t-cm-us-o-t-cm-us-p-t-hfc-us-o-t-cmts-us-o-t-cmts-us-p)/2

True Ranging Offset

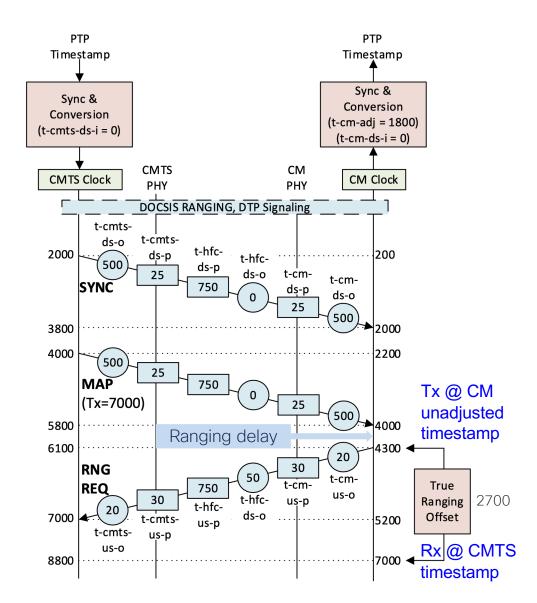
The TRO is measured at the CM between the following two reference points:

- The <u>unadjusted CM timestamp</u> when the upstream burst begins
- The <u>CMTS timestamp</u> for the scheduled arrival time of that upstream burst

This indirectly provides the <u>round-trip</u> <u>delay</u> of the network segment

This is a technique that is unique to DTP

Then derive DS one-way time delay RTT and add to CM clock to get PTP clock.



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Basic TRO Example

TRO = total round-trip delay

TRO = CMTS + CM + HFC delays

TRO - CMTS - CM = HFC delay

2700 - (525+50) - (525+50) = 1550

DS HFC Plant Latency

= 1550 / 2 = 750 (note 1)

Total DS Latency

= 500+25+750+25+500 = 1800

Corrected CM Timestamp

= 7000 + 1800 = 8800

Note 1: adjustments for asymmetry are applied here

Timestamp Timestamp Sync & Svnc & Conversion Conversion (t-cm-adj = 1800)(t-cmts-ds-i=0)(t-cm-ds-i=0)**CMTS** CM **CMTS Clock** CM Clock PHY PHY DOCSIS RANGING, DTP Signaling t-cmtst-cmtsds-o t-hfc-2000 ds-p t-hfc-500 ds-p 25 ds-o t-cmt-cm-SYNC 750 ds-o 25 500 2000 3800 4000 2200 500 25 MAP 750 Tx @ CM (Tx=7000)25 unadjusted 500) 4000 5800 timestamp Ranging delay 4300 ◀ 6100 20 RNG 30 50 t-cmt-cm-True **REQ** 750 us-o t-hfc-2700 30 us-p Ranging t-hfc-20 ds-o 7000 Offset t-cmts-5200 us-p t-cmtsus-p Rx @ CMTS us-o 7000 ◀ 8800 timestamp

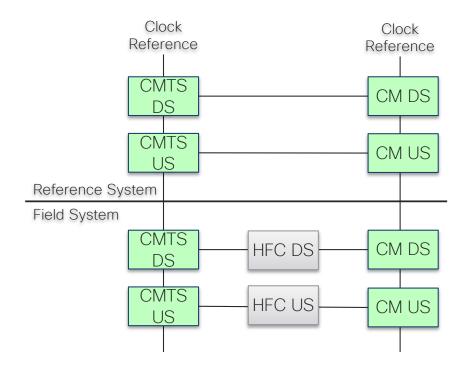
PTP

PTP

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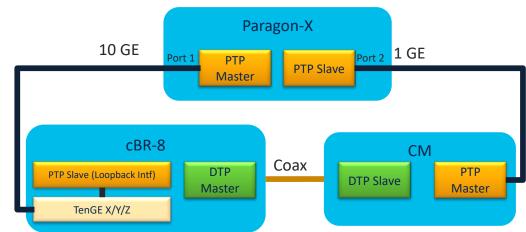
Real World Considerations

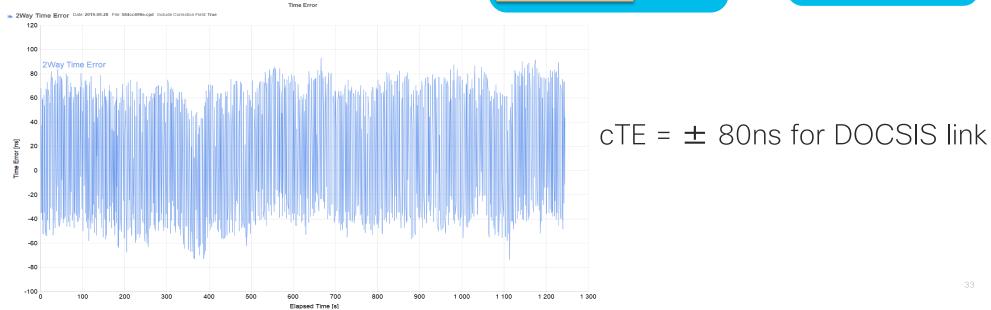
- Decent TE budgets allows for decent results, but smart calibration will result in better than spec results
- However, network elements may not be able to calibrated separately due to lack of media-specific test equipment
- Alternatively, CMTS/CM can be calibrated in pairs by comparing a zero mile length plant to a deployed plant
- The latest lab results can be published on a website



DTP Test Results

- · Cisco cBR-8 CMTS
- Intel reference design CM

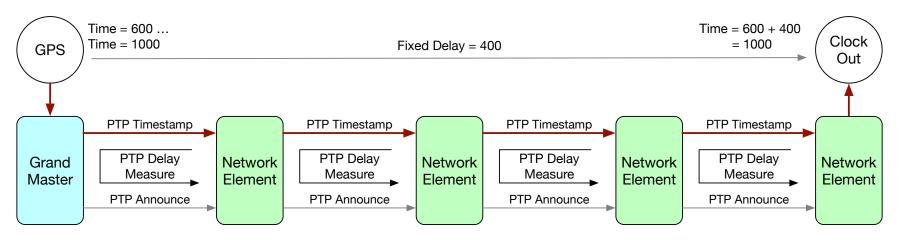




DTP - DOCSIS Time Protocol

Summary

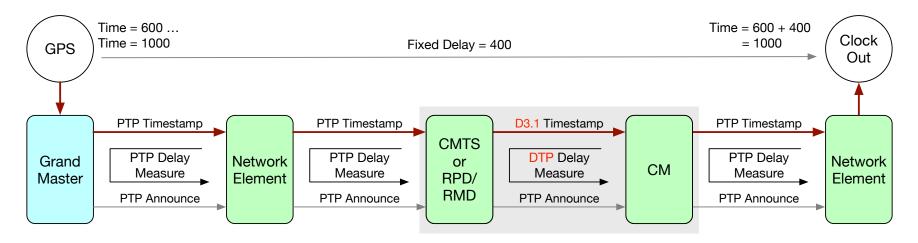
IEEE 1588/PTP In a Nutshell



- Receive time centrally from GPS. Send it as a timestamp across the network.
 Design hardware to make network elements appear as a fixed delay
- 2. Measure the round delay and divide by two for one-way delay
- 3. Add delay (400) to previously received timestamp (600) to get global time (1000)

The principal can be learned in 5 minutes, but it seems to take a lifetime to get it right

DTP In a Nutshell



- Same as PTP except on the DOCSIS link, DOCSIS provides the timestamp and the equivalent of two-way delay measurements derived from ranging measurements
- CMTS is already synchronized and timestamp has Epoch built in
- PTP Announce is sent as a L3 message over DOCSIS

DTP Recap



Rather than run NTP or PTP over-the-top, the DOCSIS system can be used as-is to generate these timing protocols at the CM with a very high degree of precision

- CMTS synchronizes DOCSIS to a PTP/SyncE
- DOCSIS provides a stable clock and a timestamp. DTP manages latency and asymmetry for DOCSIS
- CM regenerates PTP/SyncE using DOCSIS timing

Accuracy is dependent upon accurate modeling and calibration of CMTS, CM, and HFC plant

DOCSIS can provide accurate network timing for MBH

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